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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. (Under 37 CFR 1.97(b) or 1.97(c)) 114104-10 In Re Application Of: Anthony J. Baerlocher et al. Serial No. Filing Date Examiner **Group Art Unit** 10/659,092 September 9, 2003 Unknown 3713 Title: GAMING DEVICE HEA ING MULTIPLE AWARD REELS AND MOVING INDICATOR Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 37 CFR 1.97(b) The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. 37 CFR 1.97(c) 2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: ☐ the statement specified in 37 CFR 1.97(e); OR the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OI	Docket No. 114104-10							
In Re Application:	MAY 1 7 2004							
Serial No.	Filing Date	Examiner		Group Art Unit				
10/659,092	September 9, 2003	Unknown		3713				
GAMING DEVICE HAVING MULTIPLE AWARD REELS AND MOVING INDICATOR								
	•	ent of Fee ts to pay the fee set forth in 37 0						
□ A check in the amount of is attached. □ The Director is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. □ Charge the amount of □ Credit any overpayment. □ Charge any additional fee required. Certificate of Transmission by Facsimile* Certificate of Mailing by First Class Mail □ Certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F: □ Constitution of Mailing by First Class Mail □ Certify that this document and fee is being deposited on May 13, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450! Alexandria, VA 22313-1450. □ Signature Signature of Person Mailing Correspondence Renee Street								
	yonly be used if paying by	Typed or Printed Name of						
Adam H. Masia (Reg. No. P.O. Box 1135 Chicago, IL 60690-1135 Phone: 312-807-4284	ignature 35,602)	Dated: May 13, 2004						



Applicants: Anthony J. Baerlocher et al.

Appl. No.: 10/659,092

Conf. No.: 4316

Filed: September 9, 2003

Title: GAMING DEVICE HAVING MULTIPLE AWARD REELS AND MOVING

INDICATOR

Art Unit: 3713
Examiner: Unknown
Docket No.: 114104-010

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, be made during the course of examination of the above-identified application for United States patent. Pursuant to the Official Gazette Notice dated August 5, 2003, copies of the cited U.S. patents and patent applications are not included as this application was filed after June 30, 2003. However, copies of all other cited references are included with this form.

U.S. PATENT DOCUMENTS

Document No.	<u>Date</u>	Inventor
Des. 400,597	November 3, 1998	Hedrick et al.
Des. 402,702	December 15 1998	Seelig et al.
Des. 406,865	March 16, 1999	Heidel
Des. 441,031 S	April 24, 2001	Seelig et al.
Des. 443,313 S	June 5, 2001	Brettschneider
Des. 465,531 S	November 12, 2002	Luciano, Jr. et al.
2,545,644	March 20, 1951	Benton et al.
4,182,515	January 8, 19880	Nemeth
4,410,178	October 18, 1983	Partridge
4,624,459	November 25, 1986	Kaufman
4,695,053	September 22, 1987	Vazquez, Jr. et al.

Document No.	<u>Date</u>	<u>Inventor</u>
4,732,386	March 22, 1988	Rayfiel
4,991,848	February 12, 1991	Greenwood et al.
5,116,055	May 26, 1992	Tracy
5,152,529	October 6, 1992	Okada
5,364,100	November 15, 1994	Ludlow et al.
5,395,111	March 7, 1995	Inoue
5,449,173	September 12, 1995	Thomas et al.
5,456,465	October 10, 1995	Durham
5,542,669	August 6, 1996	Charron et al.
5,560,603	October 1, 1996	Seelig et al.
5,584,763	December 17, 1996	Kelly et al.
5,584,764	December 17, 1996	Inoue
5,609,524	March 11, 1997	Inoue
5,664,998	September 9, 1997	Seelig et al.
5,711,525	January 27, 1998	Breeding
5,752,881	May 19, 1998	Inoue
5,823,872	October 20, 1998	Prather et al.
5,823,874	October 20, 1998	Adams et al.
5,833,538	November 10, 1998	Weiss
5,848,932	December 15, 1998	Adams
5,851,147	December 22, 1998	Stupak et al.
5,873,781	February 23, 1999	Keane
5,882,261	March 16, 1999	Adams
5,911,418	June 15, 1999	Adams et al.
5,927,714	July 27, 1999	Kaplan
5,938,529	August 19, 1999	Rodesch, et al.
5,976,015	November 2, 1999	Seelig et al.
6,004,207	December 21, 1999	Wilson, Jr. et al.
6,015,346	January 18, 2000	Bennett
6,059,658	May 9, 2000	Mangano et al.
6,086,066	July 11, 2000	Takeuchi et al.

Document No.	<u>Date</u>	<u>Inventor</u>
6,089,976	July 18, 2000	Schneider et al.
6,089,977	July 18, 2000	Bennett
6,089,978	July 18, 2000	Adams et al.
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6,113,098	September 5, 2000	Adams
6,142,873	November 7, 2000	Weiss et al.
6,142,874	November 7, 2000	Kodachi et al.
6,155,925	December 5, 2000	Giobbi et al.
6,159,097	December 12, 2000	Gura
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6,162,121	December 19, 2000	Morro et al.
6,173,955 B1	January 16, 2001	Perrie et al.
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6,203,429 B1	March 20, 2001	Demar et al.
6,213,876 B1	April 10, 2001	Moore Jr.
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6,261,177 B1	July 17, 2001	Bennett
6,267,669 B1	July 31, 2001	Luciano Jr. et al.
6,270,411 B1	August 7, 2001	Gura et al.
6,302,790 B1	October 16, 2001	Brossard
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6,340,158 B2	January 22, 2002	Pierce et al.
6,368,216 B1	April 9, 2002	Hedrick et al.

Document No.	<u>Date</u>	<u>Inventor</u>
6,386,974 B1	May 14, 2002	Adams
6,398,220 B1	June 4, 2002	Inoue
6,419,579 B1	July 16, 2002	Bennett et al.
6,461,241 B1	October 8, 2002	Webb et al.
6,481,713 B2	November 19, 2002	Perrie et al.
6,533,660 B2	March 18, 2003	Seelig et al.
6,537,152 B2	March 25, 2003	Seelig et al.
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6,609,972 B2	August 26, 2003	Seelig et al.

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GB 2 383 668	July 2, 2003	Great Britain

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4DU Dice Unit advertisement written by starpoint.uk.com, printed on September 3, 2002.

American Bandstand brochure written by Anchor Games, published in 2001.

A Salute to Game Shows-The Price is Right-Pricing Games, taken from http:ben-schumin.simplenet.com/game-shows/shows/price-is-right/pricing-games-4.htm, printed on August 16, 2000.

Big Shot!™ advertisement published by Aristocrat Technologies, Inc., published in 2002.

Big Top Keno advertisement published by Aristocrat Technologies, Inc., published in 2000.

Bonus Roulette brochure written by R. Franco, published prior to September 9, 2003.

Buck's Roulette brochure written by R. Franco, published prior to September 9, 2003.

Chariot's of Fortune brochure written by R. Franco, published prior to September 9, 2003.

Classic Pot of Gold brochure written by Ace Coin Equipment Ltd., published prior to September 9, 2003.

Clock Game description, taken from <u>URL:http://gscentral.net/clock.htm</u>, printed on August 18, 2000.

Cyberdyne Gaming brochure written by Cyberdyne Gaming, published prior to September 9, 2003.

Elvira® Mistress of the Dark™ advertisement written by IGT, published in 2002.

Elvis Hits advertisement written by IGT, published in 1999.

Jack and the Beanstalk™ brochure written by AC Coin & Slot, published prior to September 9, 2003.

Jackpot Party brochures and articles, published by WMS Gaming Inc., 1998.

Jackpot Party, taken from www/wmsgaming.com, printed on February 7, 2001.

King of the Grill™ brochure written by AC Coin & Slot, published prior to September 9, 2003.

Line-Up brochure written by AC Coin & Slot, published prior to September 9, 2003.

Little Green Men Jr.™ advertisement written by AC Coin & Slot, published prior to September 9, 2003.

Little Green Men Jr.™ article written by Strictly Slots, published in February 2003.

Miss America brochure written by AC Coin & Slot, published prior to September 9, 2003.

Mix and Match article written by Strictly Slots, published in April 2002.

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Money Grab article written by Strictly Slots, published in April 2001.

Monster Match article written by Strictly Slots, published in January 2002.

On The Money! article written by Strictly Slots, published in December 2000.

Payout!™ article written by Casino Data Systems, published prior to September 9, 2003.

Pick a Prize brochure written by Acres Gaming Incorporated, published prior 2001.

Power Slotto brochure published by AC Coin & Slot prior to 2002.

Press Your Luck brochure published by AC Coin & Slot prior to 2002.

Quick Pick Paytime brochure written by Acres Gaming Incorporated, published prior to 2001.

R&B™ brochure published by AC Coin & Slot, published prior to September 9, 2003.

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Schwartz, David, Ryan, Steve, and Wostbrock, Fred. The Encyclopedia of TV Game Shows. Third Ed. Checkmark Books, New York: 1999 pages 176-180.

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Ten Chances game description, taken from http://gscentral.net/10chan.htm, printed on March 16, 2003.

The Price is Right brochure written by IGT, in 2001.

The Price is Right article, taken from www.curtalliaume.com/tpir.html, published on July 18, 2002.

The Price is Right Plinko advertisement written Strictly Slots, published in December 2001.

The Price is Right Video Slots advertisement written by IGT, published in 2001.

Appl. No. 10/659,092

The Price is Right Video Slots advertisement written by IGT, published in 2001.

Welcome To Video Reality brochure written by Atronic Casino Technology Ltd., published in 1995.

Yahtzee Bonus advertisement written by Mikohn, published in 1999.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

RY

Adam H. Masia Reg. No. 35,602 P.O. Box 1135

ades H Loin

Chicago, Illinois 60690-1135

Phone: (312) 807-4284

Dated: May 13, 2004

(Use Several sheets if necessary)

TO Form 1449

Atty Docket No.	Application No.
114104-010	10/659,092
Applicants	
Anthony J. Baerl	ocher et al.
Filing Date	Group
September 9, 2003	3713

	48 9	U.S. PATENT D	OCUMENTS	•	·	
Examiner's	Document	Publication	OCOMENTS	1	<u> </u>	Filing Date
Initials	Number	Date	Inventor	Class	Subclass	If Appropriate
	Des. 400,597	November 3, 1998	Hedrick et al.			
	Des. 402,702	December 15 1998	Seelig et al.			
	Des. 406,865	March 16, 1999	Heidel			
	Des. 441,031 S	April 24, 2001	Seelig et al.			
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	4,410,178	October 18, 1983	Partridge			
	4,624,459	November 25, 1986	Kaufman			
	4,695,053	September 22, 1987	Vazquez, Jr. et al.			
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	5,116,055	May 26, 1992	Tracy			
	5,152,529	October 6, 1992	Okada			
	5,364,100	November 15, 1994	Ludlow et al.			
	5,395,111	March 7, 1995	Inoue			
	5,449,173	September 12, 1995	Thomas et al.			
	5,456,465	October 10, 1995	Durham			
	5,542,669	August 6, 1996	Charron et al.			
	5,560,603	October 1, 1996	Seelig et al.			
	5,584,763	December 17, 1996	Kelly et al.			
	5,584,764	December 17, 1996	Inoue			
	5,609,524	March 11, 1997	Inoue			
	5,664,998	September 9, 1997	Seelig et al.			-
	5,711,525	January 27, 1998	Breeding			
	5,752,881	May 19, 1998	Inoue			
	5,823,872	October 20, 1998	Prather et al.			

Examiner:	Date Considered:

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(Use several sheets if necessary)

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	5,823,874	October 20, 1998	Adams et al.			
	5,833,538	November 10, 1998	Weiss			·
	5,848,932	December 15, 1998	Adams			
	5,851,147	December 22, 1998	Stupak et al.			
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	5,911,418	June 15, 1999	Adams et al.			
	5,927,714	July 27, 1999	Kaplan			
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	5,976,015	November 2, 1999	Seelig et al.			
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	6,015,346	January 18, 2000	Bennett			
	6,059,658	May 9, 2000	Mangano et al.			
	6,086,066	July 11, 2000	Takeuchi et al.			
	6,089,976	July 18, 2000	Schneider et al.			
	6,089,977	July 18, 2000	Bennett			
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	6,102,798	August 15, 2000	Bennett			
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	6,113,098	September 5, 2000	Adams			
	6,142,873	November 7, 2000	Weiss et al.			
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	6,162,121	December 19, 2000	Morro et al.			
	6,173,955 B1	January 16, 2001	Perrie et al.			
	6,190,255 B1	February 20, 2001	Thomas et al.			

	
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	6,203,429 B1	March 20, 2001	Demar et al.			
	6,213,876 B1	April 10, 2001	Moore Jr.			
	6,220,593 B1	April 24, 2001	Pierce et al.			>
	6,224,483 B1	May 1, 2001	Mayeroff			
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	6,254,481 B1	July 3, 2001	Jaffe			
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	6,267,669 B1	July 31, 2001	Luciano Jr. et al.			
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	6,315,663 B1	November 13, 2001	Sakamoto			
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	6,609,972 B2	August 26, 2003	Seelig et al.			

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Examiner's	Document	Publication			Translation

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Exa	mi	no	
		116	

Date Considered:

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Γ		338,504	November 19, 1930	Great Britain				
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Examiner's Initials	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
	4DU Dice Unit advertisement written by starpoint.uk.com, printed on September 3, 2002.
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	Line-Up advertisement written by AC Coin & Slot, published prior to September 9, 2003.
	Little Green Men Jr.™ advertisement written by AC Coin & Slot, published prior to September 9, 2003.

Examiner:		Date Considered:			
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September 3,092
September 3,093

Examiner's Initials	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
	Little Green Men Jr.™ article written by Strictly Slots, published in February 2003.
	Miss America advertisement written by AC Coin & Slot, published prior to September 9, 2003.
	Mix and Match article written by Strictly Slots, published in April 2002.
	Mix and Match advertisement published by AC Coin & Slot, published prior to September 9, 2003.
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	Reel Dice advertisement written by Gerber & Glass, published in 1936.
	Royal Roulette advertisement written by Impulse Gaming Ltd., published prior to September 9, 2003.
	Schwartz, David, Ryan, Steve, and Wostbrock, Fred. The Encyclopedia of TV Game Shows. Third Ed. Checkmark Books, New York: 1999 pages 176-180.
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	Welcome To Video Reality advertisement written Atronic Casino Technology Ltd., published in 1995.
	Yahtzee Bonus advertisement written by Mikohn, published in 1999.

Examiner:	Date Considered:
	

*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.